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This collection of information is required by 37 CFR 1.126. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, used including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

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Lawrence M Mennemeter

the date shown below:

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January 8, 2007

PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0851-0031 U 5 Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE collection of information unless it displays a valid OMB control number. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a Application Number 10/749,774 RECEIVED Filing Date TRANSMITTAL December 30, 2003 Anders Grinnel-JepGENTRAL FAX CENTER First Named Inventor **FORM** Art Linit Charlie Peno JAN 8 8 25.67 Examiner Name 2883 (to be used for all correspondence after mittel filing) Attorney Docket Number 42215138 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC ✓ Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to TC 1 (Appeal Notice, Brief, Reply Brief) Petition Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Englosure(s) (please Identify Termina! Disclaimer below): Extension of Time Request Request for Refund Express Abandonment Request CD, Number of CD(s)_ Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Response to Office Action, mailed September 6, 2006 Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN Signature Printed name awrence M. Mennemeier Reg. No. 51,003 Date January 8, 2007 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

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Lawrence M. Mennemeler

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Attorney's Docket No.: 42P15138

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<u>Patcnt</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Anders Grunnet-Jepsen et al.

Application No. 10/749,774

Filed: December 30, 2003

For: HITLESS VARIABLE-REFLECTIVE TUNABLE

OPTICAL FILTER

Examiner: Charlie Peng

Art Unit:

2883

CERTIFICATE OF TRANSMISSION/MAILING

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P.O. Box 1450, Alexandria, VA 22313 on 1-8-07

Data

Lawrence Mennemeier

Mail Stop Amendment Commissioner For Patents PO Box 1450 Alexandria, VA 22313-1450

AMENDMENT AND OFFICIAL RESPONSE

Sir:

In response to the Office Action mailed September 6, 2006, prior to consideration on the merits, Applicant respectfully requests the Examiner to enter the following amendments and to consider the following remarks.

Amendments to the Claims begin on page 2 of this paper. Remarks/Arguments begin on page 7 of this paper.

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